

On the Performance of Successive Interference Cancellation in D2D-enabled Cellular Networks

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Outline

1. Introduction
2. System model
3. Network performance without SIC
4. Network performance with SIC
5. Conclusions

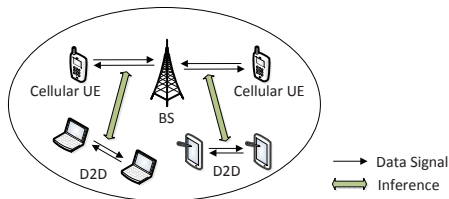
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Device-to-Device (D2D) communication

D2D Communication: enabling direct communication between users that are in proximity.

- ▶ **Gains:** increase capacity, extend coverage, facilitate new types of proximity services, ...
- ▶ **Challenges:** device and service discovery, mode selection, **interference management**, ...



Interference management in D2D-enabled cellular networks

Existing works:

- ▶ **Interference avoidance:** orthogonal resource allocation schemes
- ▶ **Interference coordination:** intelligent scheduling schemes
- ▶ **Interference cancellation:** advanced signal processing techniques, e.g., superposition coding, rate splitting, dirty paper coding, beamforming, ...

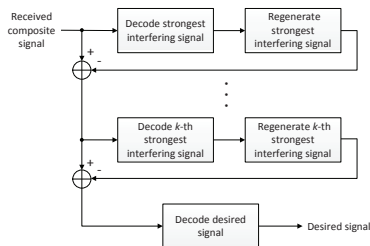
Our work:

- ▶ **Interference cancellation:** successive interference cancellation (SIC)
- ▶ Study how SIC affects the performance of large-scale D2D-enabled cellular networks
- ▶ Tools: stochastic geometry

Successive interference cancellation (SIC)

Basic concept:

- ▶ Regenerate the interfering signals and subsequently cancel them from the received composite signal
- ▶ Improve the SIR of the desired signal



Key advantages:

- ▶ SIC receiver is architecturally similar to non-SIC receivers
- ▶ Achieve Shannon capacity region boundaries for BC & MAC

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System model

Cellular link: **uplink**, **nearest-BS** association

- ▶ Cellular users: PPP, $\Phi_c(\lambda_c)$, P_c
- ▶ Base stations: Φ_b

D2D link:

- ▶ D2D TxS: PPP, $\Phi_d(\lambda_d)$, P_d
- ▶ D2D RxS: distance l (Rayleigh distribution)

Channel:

- ▶ Path loss: path loss exponent $\alpha > 2$ ($\delta = \frac{2}{\alpha}$)
- ▶ Fading: Rayleigh fading, $h \sim \exp(1)$

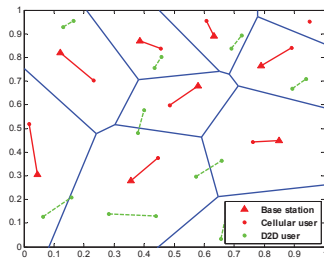


Figure: Network model

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Successful transmission probability of cellular links

Conduct the analysis on a typical cellular link:

- ▶ **Typical BS:** located at the origin
- ▶ **Associated cellular user:** located at a random distance r away

$$f_r(r) = 2\pi\lambda_c r e^{-\pi\lambda_c r^2} \quad (\text{nearest-BS association})$$

- ▶ **Received SIR:**

$$\text{SIR}_c = \frac{P_c g_0 r^{-\alpha}}{\sum_{x_i \in \Phi_c \setminus \{x_0\}} P_c g_i \|x_i\|^{-\alpha} + \sum_{y_i \in \Phi_d} P_d h_i \|y_i\|^{-\alpha}}$$

- ▶ **Successful transmission probability:** (T is SIR threshold)

$$p_c \triangleq \mathbb{P}[\text{SIR}_c > T]$$

Theorem 1

The successful transmission probability of cellular links without SIC capability is

$$p_c = \frac{\lambda_c}{\lambda_c (\mu + 1) + \lambda_d \left(\frac{P_d}{P_c} \right)^\delta \nu},$$

where

$$\mu = \frac{\delta}{1 - \delta} T \cdot {}_2F_1(1, 1 - \delta; 2 - \delta; -T),$$

$$\nu = T^\delta \Gamma(1 - \delta) \Gamma(1 + \delta),$$

and ${}_2F_1(\cdot)$, $\Gamma(\cdot)$ are respectively the Hypergeometric function and Gamma function.

Successful transmission probability of D2D links

Conduct the analysis on a typical D2D link:

- ▶ **Typical D2D transmitter:** located at the origin
- ▶ **Associated D2D receiver:** located at a random distance l away

$$f_l(l) = 2\pi\lambda_d l e^{-\pi\lambda_d l^2}$$

- ▶ **Received SIR:**

$$\text{SIR}_d = \frac{P_d h_0 l^{-\alpha}}{\sum_{y_i \in \Phi_d \setminus \{y_0\}} P_d h_i \|y_i\|^{-\alpha} + \sum_{x_i \in \Phi_c} P_c g_i \|x_i\|^{-\alpha}}$$

- ▶ **Successful transmission probability:** (T is SIR threshold)

$$p_d \triangleq \mathbb{P}[\text{SIR}_d > T]$$

Theorem 2

The successful transmission probability of D2 links without SIC capability is

$$p_d = \frac{\lambda_d}{\lambda_d(\nu + 1) + \lambda_c \left(\frac{P_c}{P_d}\right)^\delta \nu},$$

where

$$\nu = T^\delta \Gamma(1 - \delta) \Gamma(1 + \delta).$$

Remark. In Theorem 1 and 2,

- ▶ μ, ν represent the effect of the interference from the cellular links and D2D links respectively
- ▶ $(P_d/P_c)^\delta, (P_c/P_d)^\delta$ can be regarded as the conversion factors of powers

Stochastic equivalence of interference

Motivation:

- ▶ **Trivial** to analyze the two-tier (cellular-tier and D2D-tier) interference
- ▶ **Difficult** to obtain the analytical results of p_c^{SIC} , p_d^{SIC} based on the two-tier interference model

Method:

- ▶ Equate the **two-tier interference** by a **single-tier interference**
- ▶ Have the same stochastic characteristics **in terms of successful transmission probability**

Contd...

Interferers for the typical **cellular** link:

- ▶ **Original:**

$$\Phi_{c-intf} = (\Phi_c \setminus \{x_0\}) \cup \Phi_d$$

- ▶ **Equivalence:**

$$\Phi_{c-intf}^{\text{eq}} \setminus \{x_0\}$$

with density $\lambda_{c-intf}^{\text{eq}}$ and transmission power P_c

Lemma 1

The density of the equivalent interferers for cellular links is

$$\lambda_{c-intf}^{\text{eq}} = \lambda_c + \lambda_d \left(\frac{P_d}{P_c} \right)^{\delta} \frac{\nu}{\mu}$$

Contd...

Interferers for the typical D2D link:

- ▶ Original:

$$\Phi_{d-intf} = (\Phi_d \setminus \{y_0\}) \cup \Phi_c$$

- ▶ Equivalence:

$$\Phi_{d-intf}^{\text{eq}} \setminus \{y_0\}$$

density $\lambda_{d-intf}^{\text{eq}}$ and transmission power P_d

Lemma 2

The density of the equivalent interferers for D2D links is

$$\lambda_{d-intf}^{\text{eq}} = \lambda_d + \lambda_c \left(\frac{P_c}{P_d} \right)^\delta.$$

Numerical results

The system parameters are set as $\alpha = 4$, $P_c = P_d = 1$, $\lambda_c = 0.01$.

Result 1 (p_d, p_d v.s. T): the analytical results of the proposed stochastic equivalence models are in quite good agreement with the corresponding simulation results.

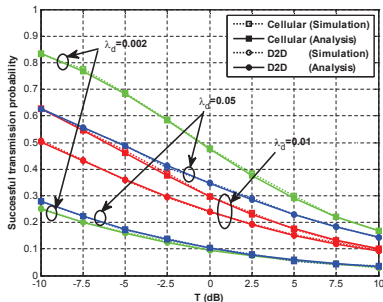


Figure: Successful transmission probabilities without IC

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Successful transmission probability of cellular links

Conduct the analysis on a typical cellular link:

- ▶ **Typical BS:** located at the origin
- ▶ **Typical cellular user:** located at a distance r away (Rayleigh)
- ▶ **Equivalent interferers:** ordered by received power at the typical BS such that $P_c g_i \|x_i\|^{-\alpha} > P_c g_j \|x_j\|^{-\alpha}, \forall i < j$
- ▶ **Successful transmission probability:**

$$p_c^{\text{SIC}} = p_c + \sum_{n=1}^{\infty} \left(\prod_{i=1}^n p_{c-\text{intf}}^{(i)} \right) \left(\prod_{i=0}^{n-1} \left(1 - p_c^{(i)} \right) \right) p_c^{(n)}$$

$p_c^{(n)}$: the successful transmission probability of the typical cellular link given that n strongest equivalent interferers are canceled

$p_{c-\text{intf}}^{(n)}$: the probability of canceling (decoding) n -th strongest equivalent interferer given that all $n - 1$ stronger equivalent interferers are canceled

Contd...

(1) Given that n strongest equivalent interferers are canceled,

- ▶ Received SIR at the typical BS:

$$\text{SIR}_c^{(n)} = \frac{P_c g_0 r^{-\alpha}}{\sum_{x_i \in \Phi_{c-\text{intf}}^{\text{eq}} \setminus \{x_0, x_1, \dots, x_n\}} P_c g_i \|x_i\|^{-\alpha}}$$

- ▶ Successful transmission probability of the typical cellular link:

$$p_c^{(n)} \triangleq \mathbb{P} \left[\text{SIR}_c^{(n)} > T \right]$$

Lemma 3

$$p_c^{(n)} = \int_0^\infty \int_0^\infty \frac{2 (\lambda_{c-\text{intf}}^{\text{eq}} \pi d_n^2)^n}{d_n \Gamma(n)} e^{-\lambda_{c-\text{intf}}^{\text{eq}} \pi \xi(r, d_n)} f_r(r) dd_n dr,$$

where $\xi(r, d_n) = \frac{\delta}{1-\delta} T r^\alpha d_n^{2-\alpha} {}_2F_1 \left(1, 1-\delta; 2-\delta; -\frac{T r^\alpha}{d_n^\alpha} \right) + d_n^2$.

Contd...

- (2) Given that all $n - 1$ stronger equivalent interferers are canceled,
- ▶ Received SIR of n -th strongest interferer at the typical BS:

$$\text{SIR}_{c\text{-intf}}^{(n)} = \frac{P_c g_n \|x_n\|^{-\alpha}}{\sum_{x_i \in \Phi_{c\text{-intf}}^{\text{eq}} \setminus \{x_n, x_1, \dots, x_{n-1}\}} P_c g_i \|x_i\|^{-\alpha}}$$

- ▶ Probability of canceling n -th strongest equivalent interferer:

$$p_{c\text{-intf}}^{(n)} \triangleq \mathbb{P} \left[\text{SIR}_{c\text{-intf}}^{(n)} > T \right]$$

Lemma 4

$$p_{c\text{-intf}}^{(n)} = \frac{1}{(\mu + 1)^n}.$$

Thorem 3

The successful transmission probability of cellular links with infinite SIC capability is

$$p_c^{\text{SIC}} = p_c + \sum_{n=1}^{\infty} \left(\prod_{i=1}^n p_{c-intf}^{(i)} \right) \left(\prod_{i=0}^{n-1} (1 - p_c^{(i)}) \right) p_c^{(n)}.$$

Proof.

The event of successful transmission with n -level SIC:

$$E_0 : \text{SIR}_c^{(0)} > T,$$

$$E_n : \left(\bigcap_{i=1}^n \text{SIR}_{c-intf}^{(i)} > T \right) \cap \left(\bigcap_{i=0}^{n-1} \text{SIR}_c^{(i)} < T \right) \cap \left(\text{SIR}_c^{(n)} > T \right).$$

Therefore, $p_c^{\text{SIC}} = \sum_{n=0}^{\infty} \mathbb{P} [E_n]$.

Successful transmission probability of D2D links

Lemma 5

Given that n strongest equivalent interferers are canceled, the successful transmission probability of the typical D2D link is

$$p_d^{(n)} = \int_0^\infty \int_0^\infty \frac{2 (\lambda_{d-intf}^{\text{eq}} \pi k_n^2)^n}{k_n \Gamma(n)} e^{-\lambda_{d-intf}^{\text{eq}} \pi \kappa(l, k_n)} f_l(l) dk_n dl,$$

where $\kappa(l, k_n) = \frac{\delta}{1-\delta} T l^\alpha k_n^{2-\alpha} {}_2F_1\left(1, 1-\delta; 2-\delta; -\frac{T l^\alpha}{k_n^\alpha}\right) + k_n^2$.

Lemma 6

Given that all $n-1$ stronger equivalent interferers are canceled, the probability of canceling n -th strongest equivalent interferer for the typical D2D link is

$$p_{d-intf}^{(n)} = \frac{1}{(\mu + 1)^n}.$$

Thorem 4

The successful transmission probability of D2D links with infinite SIC capability is

$$p_d^{\text{SIC}} = p_d + \sum_{n=1}^{\infty} \left(\prod_{i=1}^n p_{d-intf}^{(i)} \right) \left(\prod_{i=0}^{n-1} (1 - p_d^{(i)}) \right) p_d^{(n)}.$$

Corollary 1

The successful transmission probability of D2D links with (finite) M -level ($M \geq 1$) SIC capability is

$$p_d^{M-\text{SIC}} = p_d + \sum_{n=1}^M \left(\prod_{i=1}^n p_{d-intf}^{(i)} \right) \left(\prod_{i=0}^{n-1} (1 - p_d^{(i)}) \right) p_d^{(n)}.$$

Discussions

The approach of stochastic equivalence:

Cons:

- ▶ The stochastic equivalence models are obtained based on the **non-SIC** scenario.
- ▶ When applied to the **SIC** scenario, these models can only produce **approximated** values of the interference.

Pros:

- ▶ This approach provides **analytical expressions** of the interference in SIC networks → facilitating performance evaluation of SIC (and design of corresponding scheduling schemes).
- ▶ Numerical results show that the **gaps** between the analytical and simulation results are **small** → good approximation.

Numerical results

Result 1 ($p_c^{\text{SIC}}, p_d^{\text{SIC}}$ v.s. T):

- ▶ The analytical results can be regarded as lower bounds on p^{SIC}
- ▶ 2-level SIC can improve p^{SIC} by almost 50%
- ▶ When the SIC level > 2 , SIC cannot further improve p^{SIC}

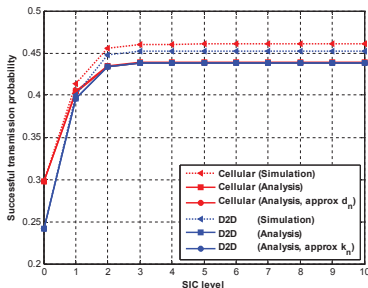


Figure: Successful transmission probabilities with SIC

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Result 2 (p_c^{SIC} v.s. λ_d):

- ▶ Increasing λ_d leads to a decrease in p_c^{SIC}
- ▶ SIC can compensate part of the performance loss of p_c^{SIC} :
1-level SIC can compensate $\lambda_d = 0.0035$ (point A), and
2-level SIC can compensate $\lambda_d = 0.0045$ (point B)

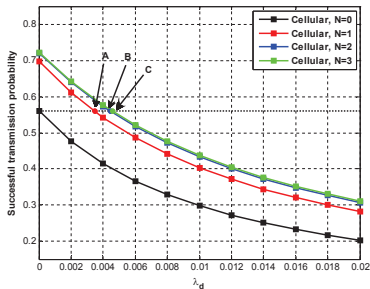


Figure: Successful transmission probability of cellular links with SIC

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Conclusions

- ▶ Study the performance of SIC in large-scale D2D-enabled cellular networks using the tools from stochastic geometry.
- ▶ Derive the successful transmission probabilities of the network without SIC as the baseline results.
- ▶ Propose the approach of stochastic equivalence of the interference.
- ▶ Derive the successful transmission probabilities of cellular and D2D links with infinite and finite SIC capabilities based on the stochastic equivalence models.
- ▶ Validate the SIC gains by analytical and numerical results.

Thank You !

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